FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

**OMB APPROVAL** OMB Number: Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person     Phillips Bryan K						SURMODICS INC [ SRDX ]								(Che	ck all applic	cable) or	g Pers	10% Ov	/ner
(Last) 9924 WI	•	(First) (Middle)				3. Date of Earliest Transaction (Month/Day/Year) 11/18/2013								7	below)	Officer (give title Other (specify below)  SVP, Gen Counsel & Secretary			
(Street) EDEN PRAIRIE MN 55344  (City) (State) (Zip)						4. If Amendment, Date of Original Filed (Month/Day/Year)								Line	Form f	vidual or Joint/Group Filing (Check Applicable  Form filed by One Reporting Person  Form filed by More than One Reporting  Person			
			ole I - No						<del></del>	Dis	<u>.</u>	-			y Owned			1.	
1. Title of Security (Instr. 3)				Date	2. Transaction Date (Month/Day/Year		2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)		4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4				Benefici Owned F	es ally Following	Form (D) or	r Indirect str. 4)	7. Nature of Indirect Beneficial Ownership
									Code	v	Amount	(A) (D)	or	Price	Reported Transact (Instr. 3	ion(s)			(Instr. 4)
Common Stock 11/18					8/2013	2013			Α		21,660	(1)	1	\$0.00	27	,031		D	
Common Stock 11/18					8/2013				F		7,277	2) I	)	\$22.58	19	,754		D	
			Table II -								osed of, converti				Owned				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deem Execution if any (Month/Da	Date,	4. Transaction Code (Instr 8)		n of		6. Date Exercis Expiration Date (Month/Day/Yea		9	of Secu Underly Derivat	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	ly	Ownership Form: Direct (D) or Indirect (I) (Instr. 4	Beneficial Ownership (Instr. 4)
					Code	v	(A)	(D)	Date Exercisal		Expiration Date	Title	or Ni of	lumber					
Stock Option (right to	\$22.58	11/18/2013			A		12,612		(3)		11/18/2020	Commo	n 12	2,612	\$0.00	12,612	2	D	

## **Explanation of Responses:**

- 1. Performance shares awarded under long-term incentive plan based on performance during three-year period ended September 30, 2013.
- 2. Shares withheld to satisfy taxes incident to vesting of performance share award.
- 3. The award vests in four equal installments on each of the first four anniversaries of the November 18, 2013 grant date.

## Remarks:

/s/ Bryan K. Phillips

11/20/2013

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.