SEC Form 5

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FORM 5

Check this box if no longer subject to Section 16. Form 4 or Form 5 bbligations may continue. See nstruction 1(b).

Form 3 Holdings Reported

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Form 4 Transactions Reported. Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940																		
1. Name and Address of Reporting Person* KOCH DAVID A					2. Issuer Name and Ticker or Trading Symbol <u>SURMODICS INC</u> [SRDX]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner					
(Last) (First) (Middle) 505 N. HIGHWAY 169					3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 09/30/2005								Officer (give title Other (specify below)					
SUITE 595 (Street) PLYMOUTH MN 55441 (City) (State) (Zip)					4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person													
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																		
1. Title of Security (Instr. 3)			2. Transaction Date (Month/Day/Year)	Execution if any	2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Dispo Of (D) (Instr. 3, 4 and 5)			sed	ed 5. Amount of Securities Beneficially Owned at end of		6. Owne Form (D) or	: Direct	7. Nature of Indirect Beneficial Ownership	
					(Month/Day/fear)			Amo	ount	(A) or (D)	Price		Issuer's Fiscal In		Indire (Instr	ect (I)	(Instr. 4)	
Common Stock			08/25/2005				G		1,800	D \$			781,420			D		
Common	Stock		09/26/2005				G		650 D		\$ <u>0</u>		780	780,770		D		
Common Stock			10/14/2005			G		6,225		D	\$0		774	774,545		D		
Common Stock			11/03/2005				G		4,250	D	\$0 770		,295		D			
Common Stock													172,000			Ι	Trust ⁽¹⁾	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	e (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Nu of Deriv	imber vative irities ired r osed) r. 3, 4	nber 6. Date Expirati (Month/ ities red sed 3, 4		isable and	7. Title and Amount of Securities Underlying Derivative Securit (Instr. 3 and 4)			8. Price of Derivative Security (Instr. 5)	9. Numbo derivativ Securitie Beneficia Owned Following Reported Transact (Instr. 4)	re Owners es Form: ally Direct (or Indir ig (I) (Inst d tion(s)		D) Beneficial Ownership (Instr. 4)	
					(A)	(D)	Date Exercis	able	Expiration Date	Title	Amou or Numb of Share	ber						
Director Stock Option (Right to Buy)	\$ 6.563						(2)		05/17/2009	Commo Stock	ⁿ 9,00	0		9,000		D		
Director Stock Option (Right to Buy)	\$25.094						(3)		09/18/2010	Commo Stock	ⁿ 2,00	0		2,00	0	D		
Director Stock Option (Right to Buy)	\$ 34.85						(4)		11/21/2011	Commo Stock	ⁿ 1,00	0		1,00	0	D		

Explanation of Responses:

\$29.17

\$21.82

Director Stock Option

(Right to Buy)

Director Stock Option (Right to Buy)

1. These shares are owned by a trust established under the will of Clarissa L. Gray. The wife and children of David A. Koch are among the beneficiaries of the trust. The trustees of the trust are David A. Koch, Paul M. Torgerson and U.S. Bank Trust National Association SD.

(5)

(6)

Common Stock

Common Stock

2,000

5,000

2,000

5,000

D

D

03/17/2013

05/17/2014

2. Exercisable in annual increments of 1,800 shares each commencing 5/17/99.

3. Exercisable in annual increments of 400 shares each commencing 9/18/00.

4. Exercisable in annual increments of 200 shares each commencing 11/21/01.

5. Exercisable in annual increments of 400 shares each commencing 3/17/03.

6. Exercisable in annual increments of 1,000 shares each commencing 5/17/04.

Remarks:

/s/ David A. Koch

11/14/2005

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.