FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person*  Maharai Carry P.  Maharai Carry P.						2. Issuer Name and Ticker or Trading Symbol SURMODICS INC [ SRDX ]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
<u>Maharaj Gary R</u>														X	Direc	tor		10% O	wner
(Last) (First) (Middle)				3. Date of Earliest Transaction (Month/Day/Year) 08/03/2020									X		Officer (give title below)		Other ( below)	specify	
9924 WEST 74TH STREET													President & CEO						
(Street) EDEN PRAIRII	DEN MN 5534		5344	ı	4. If <i>i</i>	4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line)  X Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City)	(State) (Zip)		Zip)											Perso	on				
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																		
1. Title of Security (Instr. 3)  2. Transaction Date (Month/Day/Ye				2A. Deeme Execution if any (Month/Day		n Date,	·   [	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 ar			nd 5) Secur Benef		icially d Following	Forr (D)	rect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
								-	Code	v	Amount	(A) or (D)	Price	Tra		action(s) 3 and 4)	(11131	4)	(IIISU. 4)
Common Stock 08/03/2020				0	)			S <sup>(1)</sup>		3,000	D	\$47.44	47.4446 <sup>(2)</sup>		138,142		D		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	ive Conversion Date Exect or Exercise (Month/Day/Year) if any		Deemed sution Date, y y nth/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Expiration Date (Month/Day/Year)			Amo Secu Unde Deriv Secu 3 and	7. Title and Amount of Securities Underlying Derivative Security (Instr 3 and 4)  Amoun or Numbe of Title Shares		rice of evative urity tr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	

## **Explanation of Responses:**

- 1. Sales effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on February 28, 2020.
- 2. Reflects the weighted average price of 3,000 shares of common stock of Surmodics, Inc. sold by the reporting person in multiple transactions on August 3, 2020 with sale prices ranging from \$47.015 to \$47.98 per share. The reporting person undertakes to provide upon request by the U.S. Securities and Exchange Commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price.

## Remarks:

/s/ John Manders on behalf of Gary R. Maharaj

08/05/2020

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.