U.S. SECURITIES AND EXCHANGE COMMISSION WASHINGTON, DC 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

□ Check box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

> Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* Hathaway Stephen C.		0	2. Issuer Name and Ticker or Trading Symbol SurModics, Inc. (SRDX)	 Relationship of Reporting Person to Issuer (Check all applicable) ☐ Director ☐ 10% Owner 			
(Last)	(First)	(Middle)	3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)	☐ Officer (give title below) ☐ Other (specify below)			
9924 West 74th Street				Vice President and Chief Financial Officer			
	(Street)						
Eden Prairie	MN	55344	4. Statement for Month/Year August 2002	7. Individual or Joint/Group Filing (Check applicable line)			
(City)	(State)	(Zip)		Form Filed by One Reporting Person			
				☐ Form Filed by More than One Reporting Person			
			5. If Amendment, Date of Original (Month/Year)	r · · · · ·			

${\tt TABLE \ I-NON-DERIVATIVE \ SECURITIES \ ACQUIRED, \ DISPOSED \ OF, \ OR \ BENEFICIALLY \ OWNED$

	2. Transaction Date		3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			
					(A) or			
1. Title of Security (Instr. 3)	(Month/Day/Year)	Code	V	Amount	(D)	Price		
Common Stock	8/9/02	G	V	600	D	None		

Common Stock

[Additional columns below]

[Continued from above table]

5. Amount of	6. Ownership Form:	
Securities Beneficially	Direct (D) or	7. Nature of Indirect
Owned at End of Month	Indirect (I)	Beneficial Ownership
(Instr. 3 and 4)	(Instr. 4)	(Instr. 4)
66,886		
28,000	Ι	By Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. * If the form is filed by more than one reporting person, see Instruction 4(b)(v).

TABLE II — DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED (E.G., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES)

		2. Conversion or		C	nsaction ode tr. 8)	De Se Acqu Dispo (In	fumber of erivative ecurities ired (A) or osed of (D) istr. 3, 4, and 5)
1. Title of I Security (Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Code	V	(A) (D)	
Employee Stock Option	Employee Stock Option				Previe	ously Report	ed
Right to Buy) Employee Stock Option		\$3.875			Previously Reported		
Right to Buy) Employee Stock Option		\$8.0625 Pr			Previo	reviously Reported	
(Right to Buy) Employee Stock Option (Right to Buy)		\$25.094			Previously Reported		
						[Additional	columns below
[Continued from above table] 6. Date Ex and Exp Date (Mo Yea	iration nth/Day/		7. Title and Amoun of Underlying Securities (Instr. 3 and 4)	t		я	. Price of
Date Exercisable	Expiration Date	Title		Amount or Number of Shares		Derivative Security (Instr. 5)	
(1) (2) (3) (4)	11/18/03 9/21/05 9/20/06 9/18/07	Common Stock Common Stock Common Stock Common Stock			60,000 10,000 16,000 12,000	None None None None	
9. Number of Derivative Securities Beneficially Owned at End of Month (Instr. 4)	10. Ownership Form of Derivative Securities Beneficially owned at end of month (Instr. 4)	Ind: Bene Own	tture of irect ficial ership tr. 4)				
15,900 10,000 16,000 12,000	D D D						
Explanation of Responses:							

(3) Exercisable in annual increments of 3,200 shares each commencing 9/20/00.

(4) Exercisable in annual increments of 2,400 shares each commencing 9/18/01.

/s/ Stephen C. Hathaway	August 19, 2002

Signature of Reporting Person(1)

Date

(1)Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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(Print or Type Responses)