FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington,	D.C.	20549
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STATEMENT	OF CHANGES	IN BENEFICIAL	OWNERSHIP

	OMB APPROVAL								
	0005.005								
	OMB Number: 3235-028 Estimated average burden								
	hours per response:	0.5							

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Sultana Nusrath</u>						2. Issuer Name and Ticker or Trading Symbol SURMODICS INC [ SRDX ]								(Chec	k all applic Directo	nship of Reporting F I applicable) Director Officer (give title		10% Ov	/ner
(Last) 9924 WE	(F EST 74TH S	,	(Middle)			3. Date of Earliest Transaction (Month/Day/Year) 11/30/2020						X Officer (give title Other (specification)  VP, Clinical Affairs					респу		
(Street) EDEN P	RAIRIE M		55344 (Zip)		4. If							6. Indi Line) X	,						
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																		
Date					action 2A. Deemed Execution Date, if any (Month/Day/Year)		Code (Instr. 5)			8, 4 and Securitie		es Forr ally (D) ( Following (I) (II		: Direct r Indirect str. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)				
									Code	v	Amount	(A) o (D)	(A) or (D) Price		Transaction(s) (Instr. 3 and 4)				(111341.4)
Common Stock 11					0/2020		А		3,739	3,739 A		0.00	7,015			D			
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	ve Conversion Date Execution Date, or Exercise (Month/Day/Year) if any			ransaction of ode (Instr. Derivative			Expiration Date (Month/Day/Year) tr.			7. Title and Amo of Securities Underlying Derivative Secu (Instr. 3 and 4)		E	8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficial Owned Following Reported Transactic (Instr. 4)	e S Ily	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
					Code	v	(A)	(D)	Date Exercisal		Expiration Date	Title	Amou or Numb of Share	per					
Employee Stock Option (right to buy)	\$37.44	11/30/2020			A		15,648		(1)	1	11/30/2027	Common Stock	15,6	48	\$0.00	15,648	8	D	

## Explanation of Responses:

1. The award vests in four equal installments on each of the first four anniversaries of the November 30, 2020 grant date.

## Remarks:

/s/ John Manders on behalf of Nusrath Sultana

12/02/2020

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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