# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

I

OMB Number:	3235-0287						
Estimated average burden							
hours per response	: 0.5						

	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
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# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Ac Stich Jose	ldress of Reporting <u>ph J.</u>	Person*	2. Issuer Name and Ticker or Trading Symbol SURMODICS INC [SRDX]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner			
(Last) 9924 WEST	(First) 74TH STREET	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 08/19/2020	- X	Officer (give title below) SVP, HR and C	Other (specify below) GM, IVD		
(Street) EDEN PRAIRIE	DEN MN 55344		4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	, ,			
(City)	(State)	(Zip) Table I - Non-De	rivative Securities Acquired, Disposed of, or Ben	eficially	v Owned			

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code ( 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(msu. 4)	(insu: 4)
Common Stock	08/19/2020		S <sup>(1)</sup>		2,500	D	\$46.7123 <sup>(2)</sup>	51,570	D	

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of Derivative 3A. Deemed Execution Date, 6. Date Exercisable and Expiration Date 7. Title and Amount of 8. Price of Derivative 9. Number of derivative 10. Ownership 11. Nature of Indirect 3. Transaction 5. Number Conversion Date Transaction (Month/Day/Year) Derivative Security or Exercise if any (Month/Day/Year) Code (Instr. (Month/Day/Year) Securities Security Securities Form: Beneficial Price of Derivative Securities Acquired (Instr. 3) 8) Underlying (Instr. 5) Beneficially Direct (D) Ownership (Instr. 4) or Indirect Derivative Owned Following Security (A) or Disposed Security (Instr. 3 and 4) (I) (Instr. 4) Reported of (D) (Instr. 3, 4 and 5) Transaction(s) (Instr. 4) Amount

## Explanation of Responses:

1. Sales effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on February 26, 2020.

2. Reflects the weighted average price of 2,500 shares of common stock of Surmodics, Inc. sold by the reporting person in multiple transactions on August 19, 2020 with sale prices ranging from \$46.50 to \$47.08 per share. The reporting person undertakes to provide upon request by the U.S. Securities and Exchange Commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price.

Date

Exercisable

### **Remarks:**

/s/ John Manders on behalf of 08/21/2020 Joseph J. Stich

or Number

Title

of Shares

Expiration

Date

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

v

(A) (D)

Code

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.