FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

vvasinigton,	D.C. 20040	

OMB APPROVAL										
OMB Number:	3235-0287									
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hours per response	0.5									

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*  KALICH RONALD B SR  (Last) (First) (Middle)  9924 WEST 74TH STREET  (Street)  EDEN PRAIRIE MN 55344							2. Issuer Name and Ticker or Trading Symbol SURMODICS INC [SRDX]  3. Date of Earliest Transaction (Month/Day/Year) 08/06/2021  4. If Amendment, Date of Original Filed (Month/Day/Year)										S. Relationship of Reporting Person(s) to Issuer (Check all applicable)     X Director 10% Owner     Officer (give title Other (specify below) below)      6. Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person     Form filed by More than One Reporting					
(City)	(S	tate)	(Zip)													Persoi						
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																					
Date				2. Trans Date (Month/	Day/Year) i		2A. Deemed Execution Date, if any (Month/Day/Year)		´	Code (Instr.		4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4 5)				5. Amou Securiti Benefici Owned I Reporte	es For ially (D) Following (I) (		n: Direct or Indirect onstr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
										Code	v	Amount	(A) or (D) Pr		Price	Transac (Instr. 3	tion(s)			instr. 4)		
Common Stock 08				08/06	5/2021	2021			M		4,430 A		\$21.31	26,681			D					
Common Stock 08/06					5/2021	2021			F		1,663 D \$		\$56.78	8 25,018 <sup>(1)</sup>			D					
		Т	able II -									sed of onverti				Owned						
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deem Execution if any (Month/Da	Date,	4. Transactic Code (Inst		on of			Date Exe piration I onth/Day	Date	Amount of		curity	8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficiall Owned Following Reported Transactio (Instr. 4)	ly	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)			
						v	(A)	(D)	Da Ex			xpiration ate	or		mber ares							
Stock Option (right to buy)	\$21.31	08/06/2021			М			4,430		(2)	1	2/03/2021	Commo Stock	<sup>in</sup> 4,	430	\$0.00	0		D			

## **Explanation of Responses:**

1. The amount beneficially owned includes 8,738 restricted stock units (RSUs) that vest on service-based vesting dates and 9,863 DSUs that are vested upon issuance, each of which will be settled in shares of the issuer's common stock on a 1 for 1 basis when the reporting person's service as a director ends.

2. Fully vested.

## Remarks:

/s/ John Manders, on behalf of Ronald B. Kalich

08/10/2021

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.