### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

|   | OMB APPROVAL            |           |  |  |  |  |  |  |  |
|---|-------------------------|-----------|--|--|--|--|--|--|--|
| l | OMB Number:             | 3235-0287 |  |  |  |  |  |  |  |
| l | Estimated average burde | en        |  |  |  |  |  |  |  |
| l | hours per response:     | 0.5       |  |  |  |  |  |  |  |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person*  KALICH RONALD B SR |  |         |               |                              | 2. Issuer Name and Ticker or Trading Symbol SURMODICS INC [ SRDX ] |   |   |  |                      |   |                  |                       |   | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable)                         |   |  |  |                           |
|--|--|---------|---------------|------------------------------|--|---|---|--|----------------------|---|------------------|-----------------------|---|---|---|--|--|---------------------------|
| (Last)   | (Fii   | rst) (I | Middle)       |                              |  | 3. Date of Earliest Transaction (Month/Day/Year) 12/30/2016               |   |  |                      |   |                  |                       |   |   | Offic<br>below  | er (give title   |  | Owner<br>r (specify<br>v) |
| (Street) EDEN P  | RAIRIE M   |         | 55344<br>Zip) |                              | 4. If  | Ame   | ndment,   | Date o   | of Original          | Filed   | (Month/Da        | ay/Year)              |   | 6. In<br>Line   | Forn  | n filed by One<br>n filed by Moi                                   | o Filing (Check<br>e Reporting Per<br>re than One Re | son                       |
|  |  | Tabl    | e I - Non     | -Deriv                       | ative  | Sec   | curitie   | s Ac   | quired,              | Dis   | posed o          | f, or E               | Bene  | ficiall   | y Own   | ed   |  |                           |
| 1. Title of Security (Instr. 3)  2. Transa Date (Month/D     |  |         |               |                              | Execution Date,  |   | 3. Transaction Code (Instr. 8)  4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4) 5) |  |                      |   | Securi<br>Benefi | cially<br>I Following | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership   |   |  |  |                           |
|  |  |         |               |                              |  |   |   |  | Code                 | v   | Amount (A) o (D) |                       | ) or<br>)   | Price   | Transa  | action(s)<br>3 and 4)  |  | (Instr. 4)                |
| Common Stock 12/30/  |  |         |               | )/2016                       | 2016   |   | A   |  | 551 <sup>(1)</sup> A |   | \$25.4           | 8,550 <sup>(2)</sup>  |   | D   |   |  |  |                           |
|  | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |         |               |                              |  |   |   |  |                      |   |                  |                       |   |   |   |  |  |                           |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)          | rivative Conversion Date Execution Date, curity or Exercise (Month/Day/Year) if any  |         | Date,         | 4.<br>Transa<br>Code (<br>8) |  | Securities Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |   | 6. Date Exercisable and Expiration Date (Month/Day/Year)  Date Expiration Date Expiration Date |                      | 7. Title and Amount of Securities Underlying Derivative Security (Instr. and 4)  Amount or Number of Shares |                  | r. 3                  | Price of<br>erivative<br>ecurity<br>estr. 5)                      | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4) | Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |                           |

# **Explanation of Responses:**

- 1. The reporting person elected to receive vested deferred stock units (DSUs) in lieu of quarterly cash retainer payments. The DSUs are settled in shares of the issuer's common stock as described below.
- 2. The amount beneficially owned includes 3,821 restricted stock units (RSUs) that vest on service-based vesting dates and 4,729 DSUs that are vested upon issuance, each of which will be settled in shares of the issuer's common stock on a 1 for 1 basis when the reporting person's service as a director ends.

### Remarks:

/s/ Bryan K. Phillips, on behalf 01/04/2017 of Ronald B. Kalich

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.