FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

OMB APPRO	JVAL				
OMB Number:	3235-0287				
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*  DANTZKER DAVID  (Last) (First) (Middle)					- SI 3. E	2. Issuer Name and Ticker or Trading Symbol SURMODICS INC [ SRDX ]  3. Date of Earliest Transaction (Month/Day/Year)							(Chec	Relationship of Reportin (Check all applicable)     X Director     Officer (give title below)			10% Ov Other (s below)	vner	
C/O WHEATLEY PARTNERS, L.P. 80 CUTTERMILL ROAD					4. If	4. If Amendment, Date of Original Filed (Month/Day/Year) 11/13/2020 6. Individual or Joint/Group Filing (Check App Line)										plicable			
(Street) GREAT	NECK N		11021		-								X	X Form filed by One Reporting Person  Form filed by More than One Reporting Person					
(City)	(S	tate)	(Zip)																
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
1. Title of Security (Instr. 3)  2. Transac Date (Month/Da					Execution Date,		Transaction Dispose Code (Instr. 5)		Disposed	ties Acqui I Of (D) (In	red (A) str. 3, 4	and Securiti Benefic		es ially Following	Form:	: Direct · Indirect str. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
								Code	v	Amount	(A) or (D) Pr		rice	Transaction(s) (Instr. 3 and 4)				(111341. 4)	
Common Stock 11/18/20					′2020 <sup>(</sup>	2020(1)		M		3,575	A \$22.		22.58	30,471			D		
Common Stock 11/18/20					′2020 <sup>(</sup>	2020(1)			F		2,1630	(1) D	\$	37.33 28,3		,308(2)		D	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security		3A. Deeme Execution if any (Month/Da	Date, Transa Code (		action of		6. Date E. Expiratio (Month/D	n Date		7. Title and Amount of Securities Underlying Derivative Secu (Instr. 3 and 4)		ırity	. Price of lerivative ecurity nstr. 5)	9. Number derivative Securities Beneficiall Owned Following Reported Transactio (Instr. 4)	ly	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code	v	(A)	(D)	Date Exercisal		Expiration Date	Title	Amo or Num of Shar	nber					
Stock Option (right to	\$22.58	11/18/2020			M			3,575	(3)	1	1/18/2020	Common Stock	3,5	575	\$0.00	0		D	

## **Explanation of Responses:**

- 1. On November 13, 2020, the reporting person mistakenly filed a Form 4 reporting the exercise of a stock option that did not yet occur. Instead, the transaction occurred on November 18, 2020 and this Form 4 corrects both the transaction date and the numbers of shares that were withheld to satisfy the reporting person's tax liability as of the transaction date.
- 2. The amount beneficially owned includes 10,269 restricted stock units (RSUs) that vest on service-based vesting dates and 13,580 DSUs that are vested upon issuance, each of which will be settled in shares of the issuer's common stock on a 1 for 1 basis when the reporting person's service as a director ends.

3. Fully vested.

## Remarks:

/s/ John Manders, on behalf of David R. Dantzker

01/04/2021

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.