SEC Form 4	
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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287							
Estimated average burden								
hours per response:	0.5							

11. Nature

of Indirect

Beneficial

Ownership (Instr. 4)

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Arens Timothy J.				2. Issuer Name and Ticker or Trading Symbol <u>SURMODICS INC</u> [SRDX]						ationship of Reporting Person(s) to Issuer k all applicable) Director 10% Owner		
				3. Date of Earliest Transaction (Month/Day/Year) 11/30/2021						Officer (give title below) Chief Fina	give title Other (specify below) ief Financial Officer	
(Street) EDEN PRAIRIE MN 55344 (City) (State) (Zip)			4. If	4. If Amendment, Date of Original Filed (Month/Day/Year)					6. Indi Line) X			
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
Date		2. Transaction Date (Month/Day/Yea	Execution Date, T		action (Instr.				5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130.4)
Common Stock			11/30/2021	1	F		650	D	\$43.93	60,043	D	
Common Stock			11/30/2021	1	A		4,552	A	\$0.00	64,595	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 6. Date Exercisable and Expiration Date (Month/Day/Year) 1. Title of 3. Transaction 3A. Deemed 5. Number . Title and Amount 8. Price of 9. Number of 10. Conversion Execution Date, if any Transaction Ownership of Securities Underlying Derivative Security (Instr. 3 and 4) Derivative Date of Derivative derivative (Month/Day/Year) Derivative Security (Instr. 5) Security or Exercise Code (Instr. Securities Form: Price of Derivative Security Securities Acquired (A) or (Instr. 3) (Month/Day/Year) 8) Beneficially Owned Direct (D) or Indirect (I) (Instr. 4) Following Disposed Reported Transaction(s) of (D) (Instr 3, 4 and 5) (Instr. 4) Amount or Number Date Exercisable Expiration Date of Shares

(D)

(1)

Option \$43.93 11/30/2021 (right to buy)

Explanation of Responses:

1. The award vests in four equal installments on each of the first four anniversaries of the November 30, 2021 grant date.

Remarks:

Employee Stock

/s/ John Manders on behalf of 12/02/2021

18,337

\$0.00

Title

11/30/2028

Commor

Stock

Timothy J. Arens ** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

v

Code

A

(A)

18,337

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

18,337

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