Instruction 1(b).

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNER	SHIP
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OMB APPROVAL										
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person*     Stich Joseph J.					2. Issuer Name and Ticker or Trading Symbol SURMODICS INC [ SRDX ]										all app	licable) tor er (give title	ng Pe	erson(s) to Is  10% Over (see the leady)	wner	
(Last) (First) (Middle) 9924 WEST 74TH STREET						3. Date of Earliest Transaction (Month/Day/Year) 02/16/2021											,	below) nd GM, IVD		
(Street) EDEN PRAIRIE MN 55344				4. If	4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable .ine)  X Form filed by One Reporting Person Form filed by More than One Reporting Person					on	
(City)	(Sta	ate) (Z	Zip)																	
		Table	1 - 1	Non-Deriva	tive	Secui	rities	Ac	quir	red, D	ispo	osed o	f, or E	Benefic	ially	Own	ed			
1. Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Ye				ear)   E	2A. Deemed Execution Date if any (Month/Day/Yea		,	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 ar				nd 5) Se Be Ov		5. Amount of Securities Beneficially Dwned Following Reported		m: Direct or irect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
							c		v	Amou	ount	(A) or (D)	Price		Transaction(s) (Instr. 3 and 4)		(3		(1130.4)	
Common Stock 02/16/			02/16/202	1			S <sup>(1)</sup>		2,0	016	D	D \$51.4993 <sup>(</sup>		93 <sup>(2)</sup> 48,546			D			
Common Stock 02/16/202			1			S <sup>(1)</sup>		484 D \$52.5		\$52.50	)82 <sup>(3)</sup>		48,062		D					
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)  2. Conversion or Exercise Price of Derivative Security  (Month/Day/Year)  3. Transaction Date Execution Date, if any (Month/Day/Year) (Month/Day/Year)  (Month/Day/Year)  Code (Instr. 3)		cution Date, ny	Trans Code				Ex  (Md	piration	xercisable and n Date aylYear)		Amor Secu Unde Deriv	rlying ative rity (Instr. I 4)	Deri Sec (Ins	rice of ivative urity tr. 5)	9. Number derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	y [0   F   C   C	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
		v	(A)	(D)	Date D) Exercisab			expiration Date	Title	Amount or Number of Shares										

## **Explanation of Responses:**

- 1. Sales effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on February 26, 2020.
- 2. Reflects the weighted average price of 2,016 shares of common stock of Surmodics, Inc. sold by the reporting person in multiple transactions on February 16, 2021 with sale prices ranging from \$51.00 to \$51.94 per share. The reporting person undertakes to provide upon request by the U.S. Securities and Exchange Commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
- 3. Reflects the weighted average price of 484 shares of common stock of Surmodics, Inc. sold by the reporting person in multiple transactions on February 16, 2021 with sale prices ranging from \$52.355 to \$53.23 per share. The reporting person undertakes to provide upon request by the U.S. Securities and Exchange Commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price.

## Remarks:

/s/ John Manders on behalf of Joseph J. Stich

02/18/2021

Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.