SEC Form 4	
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## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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1. Name and Address of Reporting Person*    Maharaj Gary R   (Last) (First)   9924 WEST 74TH STREET						2. Issuer Name and Ticker or Trading Symbol SURMODICS INC [ SRDX ] 3. Date of Earliest Transaction (Month/Day/Year) 02/11/2021								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
														X Director 10% Owne					
														X Officer (give title Other (spe below) below) President & CEO					
(Ctroot)					- 4.	lf Am	endme	ent, Date	of Origina	l Filed	(Month/Da	ay/Year)		Individual or	Joint/Group	o Filing (Cl	neck Ap	plicable	
(Street) EDEN PRAIRIE MN 55344													Line) X Form filed by One Reporting Person						
,, (City)	(9	tate)	(Zip)		-									Form filed by More than One Reporting Person					
(City)	(3	,	,							Die		<i>f</i> D							
1 Title of	Security (Ins			1	saction		2A. De		aurea,	, DIS	1	ties Acquire		ally Owned		6. Owner	shin	7. Nature	
1. Title of Security (Instr. 3)			Date (Month/Day/		ear)	Execution Date, if any (Month/Day/Year)		, Trans Code	Transaction Code (Instr.		l Of (D) (Ins		nd Securiti Benefic	ies ially Following	Form: Di (D) or Inc (I) (Instr.	irect direct 4)	of Indirect Beneficial Ownership		
									Code	v	Amount	(A) oi (D)	Price		ction(s)			(Instr. 4)	
Common	Stock			02/1	1/202	21			М		10,31	1 A	\$21	.03 15	52,453	D			
Common Stock				02/11/2021		21			М		27,17	4 A	\$20	.25 17	9,627	D			
Common Stock				02/11/2021		21			М		44,77	9 A	\$23	.95 22	4,406	D			
Common Stock			02/11/2021				F		56,15	2 D	\$53	.86 16	168,254						
											osed of, onverti			y Owned					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deeme Execution if any (Month/Da	d Date,	4. Transaction Code (Instr 8)		5. Number of		6. Date Exercisa Expiration Date (Month/Day/Yea		able and 7. Title and of Securitie		d Amour ies g security	t 8. Price of Derivative Security	9. Number o derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	e Ow s Foi illy Dir or g (I)	nership rm: ect (D) Indirect (Instr. 4)	Benefici Ownerst (Instr. 4)	
					Code	v	(A)	(D)	Date Exercisa		Expiration Date	Title	Amoun or Numbe of Shares	r					
Employee Stock Option (right to buy)	\$21.03	02/11/2021		м			10,311	(1)		12/02/2021	Common Stock	10,31	1 \$0.00	0		D			
Employee Stock Option (right to buy)	\$20.25	02/11/2021		М				27,174	(1)	(1) 1		Common Stock	27,17	4 \$0.00	0		D		
Employee Stock	¢22.05	02/11/2021						44.770	(1)		11/20/2022	Common	44 77	0 00	0		D		

(1)

Explanation of Responses:

\$23.95

1. Fully vested.

**Remarks:** 

Option (right to

buy)

/s/ John Manders on behalf of Gary R. Maharaj

44,779

\$<mark>0.00</mark>

Stock

11/30/2023

02/16/2021

0

D

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

02/11/2021

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

44,779