## FORM 4

obligations may continue. See Instruction 1(b).

Check this box if no longer subject to Section 16. Form 4 or Form 5

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington,	D.C.	20549

STATEMENT	OF CHANGES	IN BENEFICIAL	OWNERSHIP

OMB APPROVAL OMB Number: Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>KALICH RONALD B SR</u>					2. Issuer Name and Ticker or Trading Symbol SURMODICS INC [ SRDX ]									lationship ck all appli Directo	•				
(Last) 9924 WI	(FI EST 74TH S	•	(Middle)		3. Date of Earliest Transaction (Month/Day/Year) 02/13/2019								below)	(give title		Other (s below)			
(Street) EDEN P (City)	RAIRIE M	tate)	55344 (Zip)		-	4. If Amendment, Date of Original Filed (Month/Day/Year)						Line) X	ndividual or Joint/Group Filing (Check Applicable e) X Form filed by One Reporting Person Form filed by More than One Reporting Person						
		Tab	le I - Noi	n-Deriv	vative	Se	curitie	s Ac	quired	Dis	posed o	of, or B	enefi	cially	Owned	l			
		2. Transaction Date (Month/Day/Year)		ar)   i	2A. Deemed Execution Date, if any (Month/Day/Year)		, Transaction Dispo Code (Instr. 5)		Dispose	ities Acqu d Of (D) (II		and Securiti Benefic		es ally Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership		
									Code	v	Amount	(A) (D)	or P	rice	Transaci (Instr. 3	tion(s)			(Instr. 4)
Common Stock 02/			02/1	3/2019	3/2019		A		859 A :		\$0.00	13,0	13,602(1)		D				
		ī	able II -								osed of onverti				Owned		,		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deeme Execution if any (Month/Da	Date,	4. Transa Code ( 8)		of E		Expiratio	6. Date Exercisab Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Securit (Instr. 3 and 4)		1 2	3. Price of Derivative Security Instr. 5)	9. Number derivative Securities Beneficial Owned Following Reported Transactio (Instr. 4)	re es ally g d tion(s)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					Code	v	(A)	(D)	Date Exercisa		xpiration ate	Title	Amo or Num of Sha	nber					
Stock Option (right to	<b>\$</b> 55.24	02/13/2019			A		2,605		(2)	0	2/13/2026	Commor Stock	2,6	605	\$0.00	2,605	5	D	

## **Explanation of Responses:**

- 1. The amount beneficially owned includes 7,671 restricted stock units (RSUs) that vest on service-based vesting dates and 5,931 DSUs that are vested upon issuance, each of which will be settled in shares of the issuer's common stock on a 1 for 1 basis when the reporting person's service as a director ends.
- 2. The stock options vest ratably on a monthly basis and become fully vested on February 13, 2020, the 12-month anniversary of the grant date.

## Remarks:

/s/ Bryan K. Phillips, on behalf of Ronald B. Kalich

02/15/2019

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.