SEC Form 4
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# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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l	hours per response:	0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

1. Name and Address of Repo	orting Person*	2. Issuer Name and Ticker or Trading Symbol SURMODICS INC [ SRDX ]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
<u>Manders John D.</u>				Director	10% Owner				
(Last) (First) 9924 WEST 74TH STRI	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 11/30/2021		Officer (give title below) Corporate Co	Other (specify below) ntroller				
(Street)	55244	4. If Amendment, Date of Original Filed (Month/Day/Year)	Line)	vidual or Joint/Group Fili					
EDEN PRAIRIE MN (City) (State)	(Zip)		X	Form filed by One Re Form filed by More the Person					

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)			4. Securities Disposed Of 5)			Securities	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130.4)
Common Stock	11/30/2021		F		68	D	\$43.93	3,033	D	
Common Stock	11/30/2021		A		1,707	A	\$0.00	4,740	D	

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of I		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Employee Stock Option (right to buy)	\$43.93	11/30/2021		A		4,584		(1)	11/30/2028	Common Stock	4,584	\$0.00	4,584	D	

Explanation of Responses:

1. The award vests in four equal installments on each of the first four anniversaries of the November 30, 2021 grant date.

#### Remarks:

#### /s/ John Manders

\*\* Signature of Reporting Person Date

12/02/2021

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 [ ] obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP